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| <b>FORM PTO-1449 (Modified)</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b><br>(Use several sheets if necessary) |  | <b>Attorney Docket No.</b><br>16828-002010 |  | <b>Serial No.:</b><br>Unassigned <b>09/145,374</b> |  |
|  |  | <b>Applicant:</b> GEOFFREY R. BALL         |  |  |  |
|  |  | <b>Filing Date:</b> Herewith               |  | <b>Group:</b> Unassigned                           |  |

| Reference Designation |              | U.S. PATENT DOCUMENTS |                 |       |           |                                 |
|-----------------------|--------------|-----------------------|-----------------|-------|-----------|---------------------------------|
| Examiner Initial      | Document No. | Date                  | Name            | Class | Sub-class | Filing Date<br>(If Appropriate) |
| AA                    | 3,870,832    | 03/11/75              | Frederickson    | 179   | 107       |                                 |
| AB                    | 4,625,137    | 11/25/86              | Tomono          | 310   | 317       |                                 |
| AC                    | 4,729,366    | 03/08/88              | Schaefer        | 128   | 1.6       |                                 |
| AD                    | 4,850,962    | 07/25/89              | Schaefer        | 600   | 25        |                                 |
| AE                    | 5,015,224    | 05/14/91              | Maniglia        | 600   | 25        |                                 |
| AF                    | 5,498,226    | 03/12/96              | Lenkauskas      | 600   | 25        |                                 |
| AG                    | 5,531,787    | 07/02/96              | Lesinski et al. | 623   | 10        |                                 |
|                       |              |                       |                 |       |           |                                 |
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|                       |              |                       |                 |       |           |                                 |
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| FOREIGN PATENT DOCUMENTS |              |          |         |       |           |                         |
|--------------------------|--------------|----------|---------|-------|-----------|-------------------------|
|                          | Document No. | Date     | Country | Class | Sub-class | Translation<br>(yes/no) |
| AH                       | 563 767 A1   | 10/06/93 | Europe  | A61F  | 2/18      |                         |
| AI                       | WO90/07915   | 07/26/90 | PCT     | A61F  | 2/18      |                         |
|                          |              |          |         |       |           |                         |
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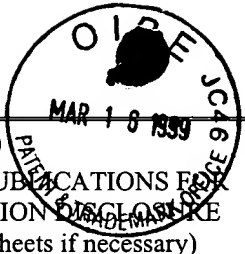
  

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) |  |
|--|--|
| AJ   | T. Ohno et al., Performance of the Middel Ear Implants, (1988) Adv. Audiol. Vol. 4, pages 85-96.                               |
| AK   | Mechanical Springs, (1989), Mechanical Engineering Design, pages 413-449.  |
| AL   | R. Goode et al., Measurement of Umbo and TM Vibration in Human Subjects - Methods and Possible Clinical Applications, 10 pages |

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| EXAMINER <i>[Signature]</i> | DATE CONSIDERED <b>2/10/00</b> |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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| FORM PTO-1449 (Modified)<br>LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION<br>STATEMENT (Use several sheets if necessary) | Attorney Docket No.: 16828-20-1 | Application No.: 09/145,374 |
|  | Applicant: GEOFFREY R. BALL     |                             |
|  | Filing Date: September 1, 1998  | Group: 3736                 |

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| Reference Designation | U.S. PATENT DOCUMENTS | Page 1 |
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| Examiner Initial | Document No. | Date     | Name     | Class | Sub-class | Filing Date<br>(If Appropriate) |
|------------------|--------------|----------|----------|-------|-----------|---------------------------------|
| <u>sh</u> AA     | 5,061,280    | 10/29/91 | Prescott | 623   | 10        |                                 |
| AB               |              |          |          |       |           |                                 |
| AC               |              |          |          |       |           |                                 |
| AD               |              |          |          |       |           |                                 |
| AE               |              |          |          |       |           |                                 |
| AF               |              |          |          |       |           |                                 |
| AG               |              |          |          |       |           |                                 |
| AH               |              |          |          |       |           |                                 |
| AI               |              |          |          |       |           |                                 |
| AJ               |              |          |          |       |           |                                 |
| AK               |              |          |          |       |           |                                 |
| AL               |              |          |          |       |           |                                 |
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|    | Document No. | Date | Country | Class | Sub-class | Translation<br>(Yes/No) |
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| AM |              |      |         |       |           |                         |
| AN |              |      |         |       |           |                         |
| AO |              |      |         |       |           |                         |
| AP |              |      |         |       |           |                         |
| AQ |              |      |         |       |           |                         |
| AR |              |      |         |       |           |                         |
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